Carlisle & Hampton Hill Federation



Data Protection and Freedom of Information Policy 2025-26

Source of policy e.g. AfC	Satswana/The Key model privacy notice
Date of review:	September 2025
Date of last review:	Jan 2025
Staff member responsible:	Z Brittain/D Fawcett
Governor name & committee responsibility:	Deb Long/B Clifford A&F
This policy was ratified by Full Governing Body (if applicable):	
Date next due for review:	September 2026

Policy Statement

We must protect the data and information we hold on any individual and will do so under the guidance of the law.

Definitions

School	Carlisle and Hampton Hill Federation
Department of Education (DfE)	is the government department which deals with education
Local Authority (LA)	Richmond upon Thames
Federation Headteacher	Zoe Brittain
Head of School	Dave Wells Carlisle Infant School Marc Lowery Hampton Hill Junior School
Chair of Governors (CoG)	Deb Long/B Clifford
Governors	Lisa Day Guy Elliott Margaret Hall Ali Hodder Williams Ros Morgan Casey Mouton Roisin Sullivan Emma Waight Lorna Yates
Business Manager	Danielle Fawcett
Designated Safeguarding Leads (DSL)	Dave Wells Carlisle Infant School DSL Marc Lowery Hampton Hill Junior School DSL
Parents	Are either the parents, carers, or guardians
Schools Data Protection Officer (DPO)	is Satswana Ltd, Suite G12 Ferneberga House, Alexandra Road, Farnborough, GU14 6DQ. info@satswana.com
Data Protection Act (DPA)	The Data Protection Act 2018 makes a provision about the processing of personal data, which is subject to GDPR, with an amendment in 2023.
UK General Data Protection Regulation (GDPR)	which applies across the European Union (including in the United Kingdom)

Freedom of Information Act (FoI)	The Freedom of Information Act 2000 discloses information held by public authorities or persons providing services for them and amends the Data Protection Act.
Educations Act (EA)	The Education Act 1996 consolidates the Education Act 1944 and certain other educational enactments.

Information Commissioners Office (ICO)	This organisation ensures compliance with the Data Protection Act, Freedom of Information Act, and GDPR and handles formal complaints.	
Electronic Platform	An electronic platform is any means the school communicates. This could include, but is not limited to, Email, Online Portals, and Social Media platforms.	
Sensitivity labels	Are labels applied to electronic documents to support data protection within the school? The following labels are active: Highly Confidential – Do not leave the site. Confidential – Can leave the site but must be encrypted General – Can leave the site. Personal – Discretion of the end user. Public – Is available to the public.	

Aims & Objectives:

This policy aims to provide a model set of guidelines to enable staff, parents, and children to understand:

- The law regarding personal data.
- How personal data should be processed, stored, archived, and deleted/destroyed.
- How staff, parents and children can access personal data.

In addition, there is brief guidance at the end of the policy on FoI, which covers other information held by schools.

The policy's objective is to ensure that the school acts within the requirements of the DPA when retaining and storing personal data and making it available to individuals and that responding to enquiries for other information is also legal under the FoI.

Data Protection

Under the DPA and other regulating acts, access to their personal information is a statutory right for children (if they are of an age to understand the information they request), and parents (as defined in the EA) may also request access to their child's data.

School staff have a right of access to personal data on themselves.

Anyone has the right to question and correct inaccurate information, but this must be matters of fact, not opinions.

Personal data should always be kept securely and protected by passwords if it is electronic, and access to it should only be by those authorised to see it – confidentiality should be respected. The law also states that

personal data should not be kept longer than required.

Third-party data (information about someone other than the requesting individual) should only be provided with their permission.

It is the responsibility of all staff in the school to follow this policy; the School's DPO provides guidance and compliance for all data held by the school.

The Headteacher is accountable for all personal data held by the school and will provide the right level of monitoring and scrutiny.

Guidance in processing, storing, archiving and deleting personal data

- Through the application process, a new staff member can request sensitive documentation, e.g., a passport or birth certificate. Once these checks are complete, they need to be destroyed.
- Children's admission The admin team will view the verification document, either birth certificate or passport, but will not copy the document.
- Personal data and school records about children are confidential to the child. The information can be shared
 appropriately within the school's professional work to enable the school to provide the child with the best
 educational provision. The law permits such information to be shared with The DfE for census and other
 educational establishments only when a pupil changes schools.
- School records for a child should be kept for seven years after the child leaves the school or until the child reaches 25 years of age (whichever is greater), and examination records should be the same. School always transfers all child records to the next school. In the event of the child leaving the country, the records would be held at the School.
- Data on staff is sensitive information and confidential to the individual. It is shared, where appropriate, at the
 discretion of the Headteacher and with the knowledge and, if possible, the agreement of the staff member
 concerned.
- Employment records form part of a staff member's permanent record. Because specific legislative issues are connected with these (salary and pension details, etc.), these records should be retained as set out by the Local Authority.
- When an employee leaves the School, their information is retained for seven years.
- Interview records, CVs and application forms for unsuccessful applicants are kept for six months after the interview.
- All Financial information is held for seven years.
- The school may collect and share further data on staff not covered by the above. This could be, for example, for Continuous Personal Development (CPD), celebration, promotion, etc. and may be in digital or non-digital format. The processing, storing, accessing, archiving, and deleting of such data will be agreed upon with the staff members involved on a case-by-case basis.
- All formal complaints made to the Headteacher or CoG will be kept in confidential files for at least seven
 years, with any documents on the outcome of such complaints. Individuals concerned in such complaints
 may have access to such files subject to data protection and legal professional privilege in the event of a
 court case.

Guidance on accessing personal data

- A child can request access to his/her data. The request is not charged and does not have to be in writing. The staff will judge whether the request is in the child's best interests and that the child will understand the information provided. They may also wish to consider whether the request has been made under coercion.
- A parent can request access to or a copy of their child's school records and other information about their child. The request must be made in writing. There is no charge for such requests on behalf of the child, but there may be a charge for photocopying records detailed in guidance from the ICO. Staff should check if a

- parent requests information and that no other legal obstruction (for example, a court order limiting an individual's exercise of parental responsibility) is in force.
- Parents should note that all rights under the DPA to do with information about their child rest with the child as soon as they are old enough to understand these rights. This will vary from child to child, but as a broad guide, it is reckoned that most children will have a sufficient understanding by 12. Parents are encouraged to discuss and explain any request for information with their child if they are aged 12 or over.
- Separately from the DPA, The Education (Pupil Information) (England) Regulations 2005 provide a child's parent (regardless of the age of the pupil) with the right to view or to have a copy of their child's educational record at the school. Parents who wish to exercise this right must apply to the school in writing.
- For educational records (unlike other personal data; see below), access must be provided within 15 school days, and if copies are requested, these must be supplied within 15 school days of payment.
- A staff member can request access to their records at no charge, but the request must be made in writing.
 The staff member can see their records and ask for document copies. There is no charge for copies of records.
- The law requires that all requests for personal information are dealt with within 40 days of receipt except requests for educational records (see above). All requests will be acknowledged in writing on receipt, and access to records will be arranged as soon as possible. If awaiting third-party consent, the school will arrange access to those already available documents and notify the individual that other documents may be available later.
- In all cases, should third-party information (information about another individual) be included in the
 information, the staff will try to obtain permission to show this information to the applicant, except for
 information provided by another member of the school staff (or Local Authority) which is exempt from a
 requirement for third party consents. If third-party permission is not obtained, the person responsible should
 consider whether the information can still be released.
- Personal data should always be directly relevant to the person requesting the information. A document discussing more general concerns may not be defined as personal data.
- The Fol came into force, a request for personal information can include unstructured and structured records for example, letters, emails, etc., not kept within an individual's files or filed by their name but still directly relevant to them.
- Anyone who requests to see their data has the right to question the accuracy of matters of fact within the
 data and to ask for inaccurate information to be deleted or changed. They may also question opinions, and
 their comments will be recorded, but opinions do not need to be deleted or changed as a part of this
 process.
- The school will document all requests for personal information with details of who handled the request, what
 information was provided and when, and any outcomes (letter requesting changes, etc.) This will enable staff
 to deal with a complaint if one is made concerning the request.

Fair processing of personal data

The School, Local Authority and the DfE all hold information on children to run the education system, and in doing so they have to follow the DPA. This means, among other things, that the data about children must only be used for specific purposes allowed by law. The school has a Fair Processing or Privacy Notice, which explains how personal data is used and with whom it will be shared. This Notice is published here:

- The School, LA, the DfE, Agency and Ofsted all process information on children in order to run the education system, and in doing so have to comply with the DPA. This means, among other things, that the data held about children must only be used for specific purposes allowed by law. We are therefore writing to tell you about the types of data held, why that data is held, and to whom it may be passed on.
- The school holds information on children in order to support their teaching and learning, to monitor and report on their progress, to provide appropriate pastoral care, and to assess how well the school as a whole is

doing. This information includes contact details, national curriculum assessment results, attendance information, characteristics such as ethnic group, special educational needs and any relevant medical information. From time-to-time schools are required to pass some of this data to Local Authority's, the DfE and agencies, such as the Teaching Agency and Ofsted, that are prescribed by law.

- The Local Authority uses information about children to carry out specific functions for which it is responsible, such as assessing any special educational needs the pupil may have, pupil tracking, etc. It also uses the information to derive statistics to inform decisions on, for example, the funding of schools, to assess the performance of schools, and for target-setting purposes. The statistics are used in such a way that individual children cannot be identified from them.
- The Teaching Agency uses information about children to administer the National Curriculum tests and assessments for any key stage. The results of these are passed on to the DfE in order for it to compile statistics on trends and patterns in levels of achievement. The Teaching Agency uses the information to evaluate the effectiveness of the National Curriculum and the associated assessment arrangements, and to ensure that these are continually improved. This information may be shared with other Government departments or agencies strictly for statistical or research purposes only.
- OfSTED uses information about the progress and performance of children to help inspectors evaluate the work of schools, to assist schools in their self-evaluation, and as part of Ofsted's assessment of the effectiveness of education initiatives and policy. Inspection reports do not identify individual children.
- The DfE uses information about children for research and statistical purposes to inform, influence, and improve education policy and monitor the performance of education services. The DfE will feed back to Local Authority and school information about their children for various purposes, including data checking exercises, use in self-evaluation analyses and where information is missing because a former school did not pass it on. The DfE will also provide Ofsted with child-level data for school inspection.
- Child information may be matched with other data sources that the DfE holds to model and monitor children's educational progression and provide comprehensive information to Local Authority's and learning institutions to support their day-to-day business. The DfE may also use contact details from these sources to obtain samples for statistical surveys. These surveys may be carried out by research agencies working under contract with the DfE, and participation in such surveys is usually voluntary. The DfE may also match data from these sources to data obtained from statistical surveys.
- Child data may also be shared with other Government Departments and Agencies (including the Office for National Statistics) for statistical or research purposes only. In all these cases the matching will require that individualised data is used in the processing operation, but that data will not be processed in such a way that it supports measures or decisions relating to particular individuals or identifies individuals in any results. The Department's Chief Statistician will approve and control this data sharing.
- Children, as data subjects, have certain rights under the DPA, including a general right of access to personal data held on them, with parents exercising this right on their behalf if they are too young to do so themselves. If you wish to access the personal data held about your child, then please get in touch with the relevant organisation:

Publication Schemes

Under the FoI, the school has a publication scheme, a formal list of the types of non-personal information which the school produces or holds, and which is readily accessible to staff, children parents or other enquirers, as follows:

The scheme covers information already published and information that is to be published in the future. All information in our publication scheme is available on paper. Some information we hold may not be public, e.g., personal information. This publication scheme conforms to the model scheme for schools approved by the ICO.

Categories of information published:

- School Brochure.
- Governor Minutes, when the school has a Full Governing Body.
- School Profile.
- School Policies and other information related to the school.
- Data Protection.
- Freedom of Information Notices to children.
- Newsletter.
- Website.
- Parent mail.
- Texting.

How to request information:

Contact the School stating publication required and your name.

Paying for information:

All documents are available to view in the school office. Copies may be requested; a charge will be levied to cover the photocopying cost.

Classes of Information Currently Published

- Information required by Statute.
- School Brochure.
- School Profile.
- School Policies.
- Minutes of the Governing Body*

Policies and policy statements

A complete list is published on the website.

Mental Health and Wellbeing

The school has an established culture that promotes and enhances the positive mental health of the whole school community. We recognise that healthy relationships underpin positive mental health and significantly impact learning, health, and well-being. We champion the expectation that 'mental health is the individual's responsibility supported by the whole school community.' Because of this, where requests from a data subject are demonstrably manifestly unfounded or excessive, we may refuse to respond to a SAR.

Feedback and Complaints:

Comments and suggestions are welcome. Please address this to the CoG at the School.

If you are not satisfied with the response from the CoG, please get in touch with the ICO.

Requests for information

• All schools that receive a written or emailed request for information they hold or publish must respond

^{*}Some information might be confidential or otherwise exempt from publication by law - we cannot publish this.

- within 20 working days.
- A refusal of any information requested must state the relevant exemption which has been applied or that the school does not hold the information and must explain what public interest test has been made if this applies.
- If the information is published by another organisation (for example, Ofsted reports), the school can direct the enquirer to the organisation that supplied the information or publication unless it is legal and possible to provide the information directly (for example, a copy of the summary of an Ofsted report).
- It will not be legal to photocopy a publication and supply this to an enquirer unless the school owns the copyright.
- The school will keep the original request and note who dealt with the request and when the information was provided.
- Any complaint about the provision of information will be handled by the Headteacher. All complaints should be in writing and documented. The Publication Scheme will include information on who to contact for enquiries and complaints.
- All enquirers should be advised that they may complain to the ICO if they are unhappy with the way their request has been handled.

This procedure is based on guidance on personal data breaches produced by the ICO.

If a staff member, governor, or data processor finds or causes a breach or potential breach, they must immediately notify the DPO.

- The DPO will investigate the report and determine whether a breach has occurred. To decide, the DPO will consider whether personal data has been accidentally or unlawfully:
- Lost
- Stolen
- Destroyed
- Altered
- Disclosed or made available where it should not have been.
- Made available to unauthorised people.
- Made unavailable, with a significant adverse effect on individuals.
- Staff and governors will cooperate with the investigation (including allowing access to information and responding to questions). The investigation will not be treated as a disciplinary investigation.
- If a breach has occurred or is likely to occur, the DPO will alert the Headteacher and the CoG.
- The DPO will make all reasonable efforts to contain and minimise the breach's impact. Relevant staff
 members or data processors should help the DPO with this where necessary, and the DPO should take
 external advice when required (e.g., from IT providers).)
- The DPO will assess the potential consequences based on how serious they are and how likely they are to happen before and after the implementation of steps to mitigate the consequences.
- The DPO will work out whether the breach must be reported to the ICO and the individuals affected using the ICO's <u>self-assessment tool</u>
- The DPO will document the decision (either way), in case it is challenged at a later date by the ICO, or an individual affected by the breach.
- Where the ICO must be notified, the DPO will do this via the <u>'report a breach' page</u> of the ICO website within 72 hours of the school's awareness of the breach. As required, the DPO will set out:
- A description of the nature of the personal data breach, including, where possible:
 - The categories and approximate number of individuals concerned.
 - The categories and approximate number of personal data records concerned.
- The name and contact details of the DPO.
- A description of the likely consequences of the personal data breach
- A description of the measures that have been, or will be, taken to deal with the breach and mitigate any

- possible adverse effects on the individual(s) concerned.
- If all the above details are not yet known, the DPO will report as much as they can within 72 hours of the school's awareness of the breach. The report will explain why there is a delay, the reasons why, and when the DPO expects to have further information. The DPO will submit the remaining information as soon as possible.
- Where the school is required to communicate with individuals whose personal data has been breached, the DPO will tell them in writing. This notification will set out:
- A description, in clear and plain language, of the nature of the personal data breach
- The name and contact details of the DPO.
- A description of the likely consequences of the personal data breach
- A description of the measures that have been, or will be, taken to deal with the data breach and mitigate any possible adverse effects on the individual(s) concerned.
- Any clear and specific advice on how the individuals can protect themselves and what the school is willing to do to help them.
- The DPO will consider, in light of the investigation and any engagement with affected individuals, whether to notify any relevant third parties who can help mitigate the loss to individuals for example, the police, insurers, banks or credit card companies.
- The DPO will document each breach, irrespective of whether it is reported to the ICO. For each breach, this record will include the:
- Facts, including the cause.
- Effects
- Action taken to contain it and make sure it does not happen again (such as establishing more robust processes or providing further training for individuals)
- Records of all breaches will be stored in the School's IT Infrastructure. The DPO and Headteacher We will
 meet as soon as reasonably possible to review what happened and how it can be prevented from happening
 again.
- The DPO and Headteacher will meet as part of the Senior Leadership Team (SLT) termly to assess recorded
 data breaches and identify any trends or patterns requiring action by the school to reduce risks of future
 breaches.

Actions to minimise the impact of data breaches.

Below, we set out the steps we might take to mitigate the impact of different types of data breaches if they were to occur, focusing especially on breaches involving particularly risky or sensitive information. We will review the effectiveness of these actions and amend them as necessary after any data breach.

- Where at all possible, electronic data will be labelled under the Sensitivity Labels:
- If data is made public, it must be the final version and approved by the Headteacher and the CoG.
- Where possible, the IT system will be configured to ensure data is appropriately secured.
- But in the event of sensitive information accidentally made available to unauthorised individuals via an
 electronic platform, the sender must attempt to recall the email as soon as they become aware of the error.
- Members of staff who receive personal data sent in error must alert the sender and the DPO as soon as they become aware of the error.
- If the sender is unavailable or cannot recall the email for any reason, the DPO will ask the IT support provider to attempt to recall it from external recipients and remove it from the school's email system (retaining a copy if required as evidence)
- In any cases where the recall is unsuccessful or cannot be confirmed as successful, the DPO will consider whether it's appropriate to contact the relevant unauthorised individuals who received the email, explain that the information was sent in error, and request that those individuals delete the information and do not share, publish, save or replicate it in any way.
- The DPO will endeavour to obtain a written response from all the individuals who received the data,

- confirming that they have complied with this request.
- The DPO will conduct an internet search to ensure that the information has not been made public; if it has, we will contact the publisher/website owner or administrator to request that it be removed from their website and deleted.
- If safeguarding information is compromised, the DPO will inform the DSL and discuss whether the school should inform any or all of its safeguarding partners.

Appendix 1 – Procedure for Access to Personal Information

Right of access to information

There are two distinct rights of access to personal information held by schools.

Under the GDPR and the Data Protection Act 2018, an individual (e.g., a pupil, parent, or member of staff) has the right to request access to their personal information. In certain circumstances, a parent may make a request on behalf of their child (see explanation below).

The Education (Pupil Information) (England) Regulations 2005 give parents the right to access curricular and educational records relating to their child.

Processing a request

Requests for personal information must be made in writing and addressed to the Data Protection Officer. If the initial request does not identify the required information, clarification should be sought.

The identity of the requestor must be verified before any personal information is disclosed, and checks should also be carried out regarding proof of relationship to the child.

Evidence of identity can be established by requesting the production of the following (this list is not exhaustive):

- Passport
- Driving licence
- Utility bills with the current address
- Birth / Marriage certificate
- P45/P60
- Credit Card or Mortgage statement
- Parental Responsibility

Individuals are entitled to be told if we are processing their personal information, obtain a copy of that information and other supplementary information – see below.

In addition to a copy of their data, you also have to provide individuals with the following information:

- the purposes for processing their data;
- the categories of personal data concerned;
- the recipients or categories of recipients you disclose the personal data to;
- your retention period for storing the personal data or, where this is not possible, your criteria for determining how long you will store it;
- the existence of their right to request rectification, erasure or restriction or to object to such processing;
- the right to complain to the ICO or another supervisory authority; · information about the source of the data, where it was not obtained directly from the individual;
- the existence of automated decision-making (including profiling); and

• the safeguards you provide if you transfer personal data to a third country or international organisation.

Much of this information will already be included in your privacy notice.

Information can be viewed at the school, with a member of staff on hand to help and explain matters if requested or provided at a face-to-face handover. The views of the applicant should be taken into account when considering the method of delivery. If the applicant has asked for the information to be posted, then special next-day delivery or recorded delivery postal service must be used.

1. Information relating to children

Children have the same rights to access their personal information as adults and the same privacy rights. There is no minimum age in English law; however, current practice accepts that provided a child is mature enough to understand their rights, a child of or over 13 shall be considered capable of giving consent. This does not rule out receipt of a valid request from a child of a younger age, as each request should be considered on its merits individually.

When a subject access request is received from a child, it will need to be judged whether the child can understand the implications of their request and the information provided. If the child understands, their request will be dealt with the same way as an adult's.

Suppose a parent or legal guardian requests on behalf of a child age 13 and over. In that case, the request will only be complied with when assurances are received that the child has authorised the request and that their consent was not obtained under duress or based on misleading information. If the child does not understand, a request from a parent or legal guardian for the child's information will only be complied with when assurances are received that they are acting in the child's best interests.

Response time GDPR & DPA

The response time for complying with a subject access request is one month following the date of receipt. The timeframe does not begin until the school has received all the information necessary to comply with the request, i.e., proof of identity.

If the requests are complex or numerous, we may extend the timeframe by two more months. If this is the case, you must inform the individual within one month of receiving the request and explain why the extension is necessary.

Education Regulations

Requests for information from parents for access to information classified as part of the education record must be responded to within 15 school days. This includes the essential education information, Child and Parental details, Absence details and progress for clarification. For the avoidance of doubt, all data stored within the school's Management Information System (MIS)

Charges

If the information requested is personal and does not include information contained within educational records, the school cannot charge unless the request is manifestly unfounded or excessive.

You may charge a "reasonable fee" for the administrative costs of complying with the request. The School can also charge a reasonable fee if an individual requests further copies of their data following a request. You must base the fee on the administrative costs of providing additional copies.

The school may charge if the information requested relates to the educational record. The amount charged will

depend upon the number of pages provided. The fees work on a sliding scale, as below.

Number of pages Maximum fee

1-19		£1
20-29		£2
30-39		£3
40-49		£4
50-59		£5
60-69		£6
70-79		£7
80-89		£8
90-99		£9
100-149		£10
150-199		£15
200-249	£20	

Number of pages Maximum fee

250-299	£25
300-349	£30
350-399	£35
400-449	£40
450-499	£45

500+ £50

Exemptions

Some exemptions to the right to subject access apply in certain circumstances or to certain types of personal information. This means all information must be reviewed before disclosure.

Included below are some of the exemptions that apply to a school. This is not an exhaustive list;

Third-Party information

If the information held identifies other people, then it will sometimes be right to remove or edit that information not to reveal the identity of the third parties unless the third parties have agreed to the disclosure. (This is less likely to apply to information identifying teachers or other professionals unless disclosing it would cause them serious harm.) Reasonable steps must be taken to obtain third-party consent to disclosure. If the third parties cannot be located or do not respond, it may still be sensible to consider disclosure if the information is vital to the data subject. The school must still adhere to the one-month statutory timescale. Where redaction (information edited/removed) has taken place, a full copy of the information provided should be retained to establish what was redacted and why a complaint is made. Information disclosed should be clear, meaning any codes or technical terms must be clarified and explained. If the information contained within the disclosure is difficult to read or illegible, then it should be retyped.

Information likely to cause serious harm or distress

Any information which may cause serious harm to the physical or mental health or emotional condition of the pupil or another individual involved should not be disclosed, nor should information that would reveal that the child is at risk of abuse or information relating to court proceedings.

Crime and Disorder:

Suppose the disclosure of the information will likely hinder the prevention or detection of a crime. In that case, the information should be withheld in the prosecution or apprehension of offenders or the assessment or collection of any tax or duty.

Legal professional privilege

If the information is general legal advice related to anticipated or pending legal proceedings, it is subject to 'legal professional privilege'. The disclosure of any communication to or from a legal advisor to another person (including the data subject) should not take place unless this has first been discussed with the legal advisor concerned.

References

The right of access does not apply to references given (or to be given) in confidence.

Absence of or invalid consent to disclosure

If the data subject is considered incapable of giving valid consent to disclosure (i.e. they cannot understand the nature/implications of the access request), or if it is suspected that the consent was obtained under duress by someone acting on their behalf, or based on misleading information, then access should be refused.

Complaints

Complaints about the above procedures should be made to the Data Protection Officer (DPO), who will decide whether it is appropriate for the complaint to be dealt with under the school's complaint procedure. Complaints that are inappropriate to be dealt with through the school's complaint procedure can be handled by the Information Commissioner. Contact details of both will be provided with the disclosure information.